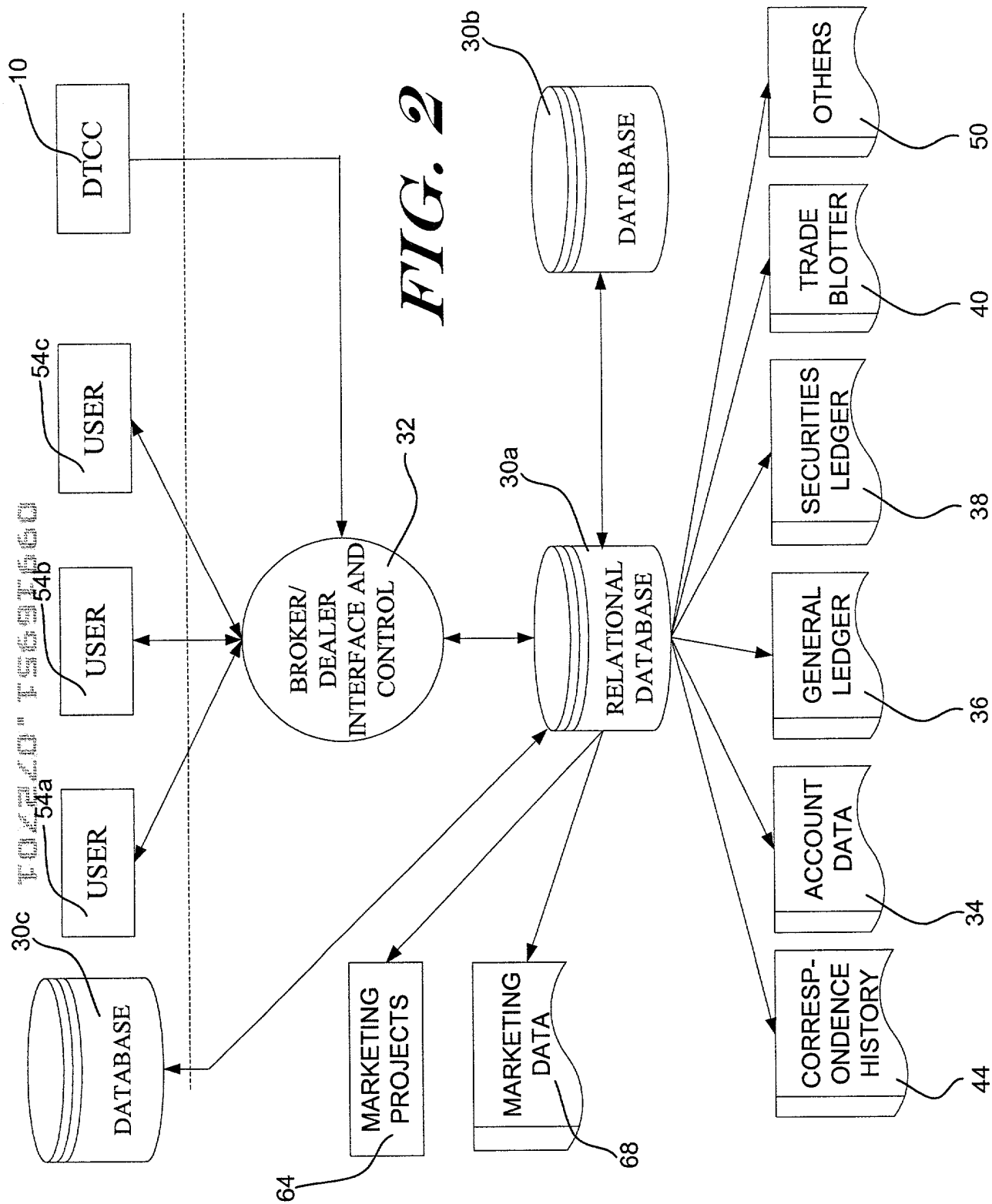


FIG. 1



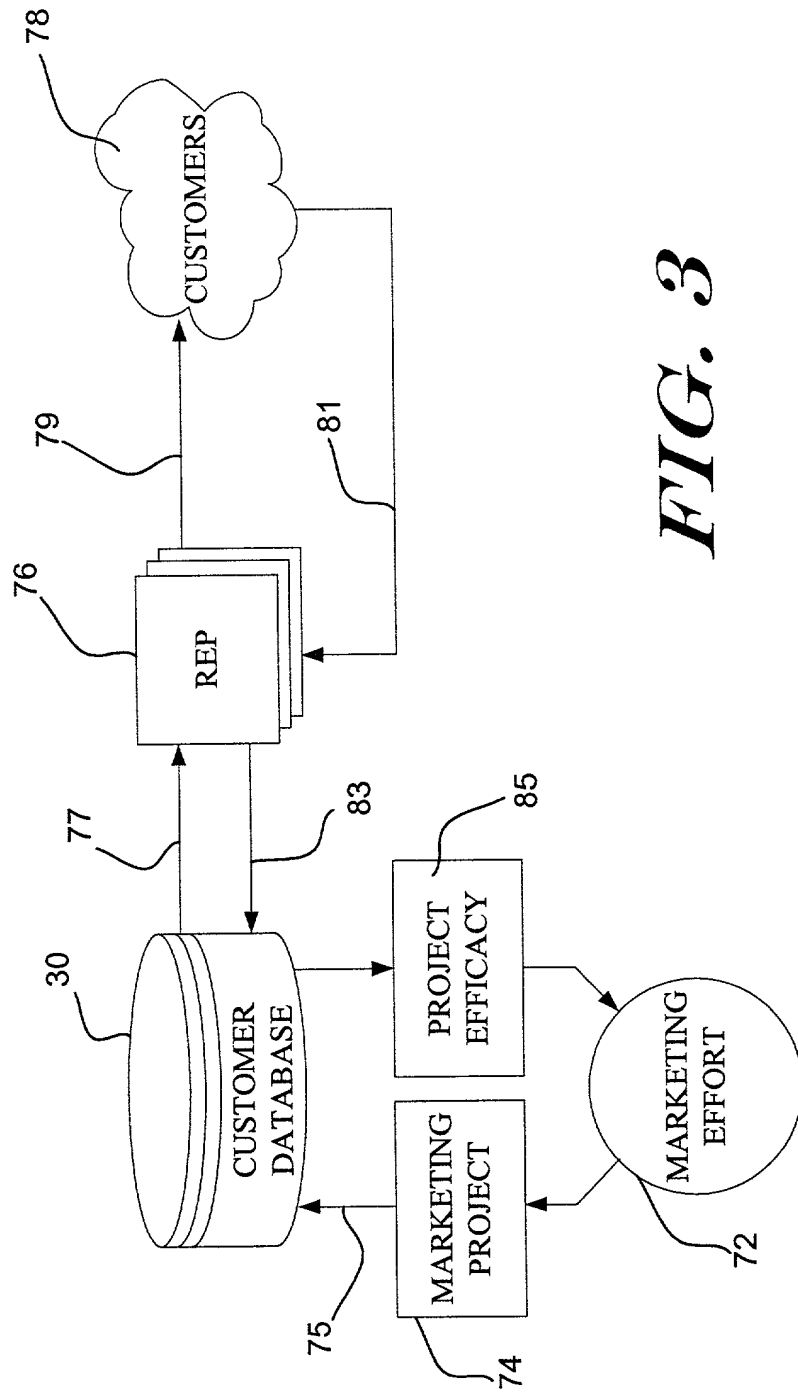


FIG. 3



FIG. 4

FIG. 5

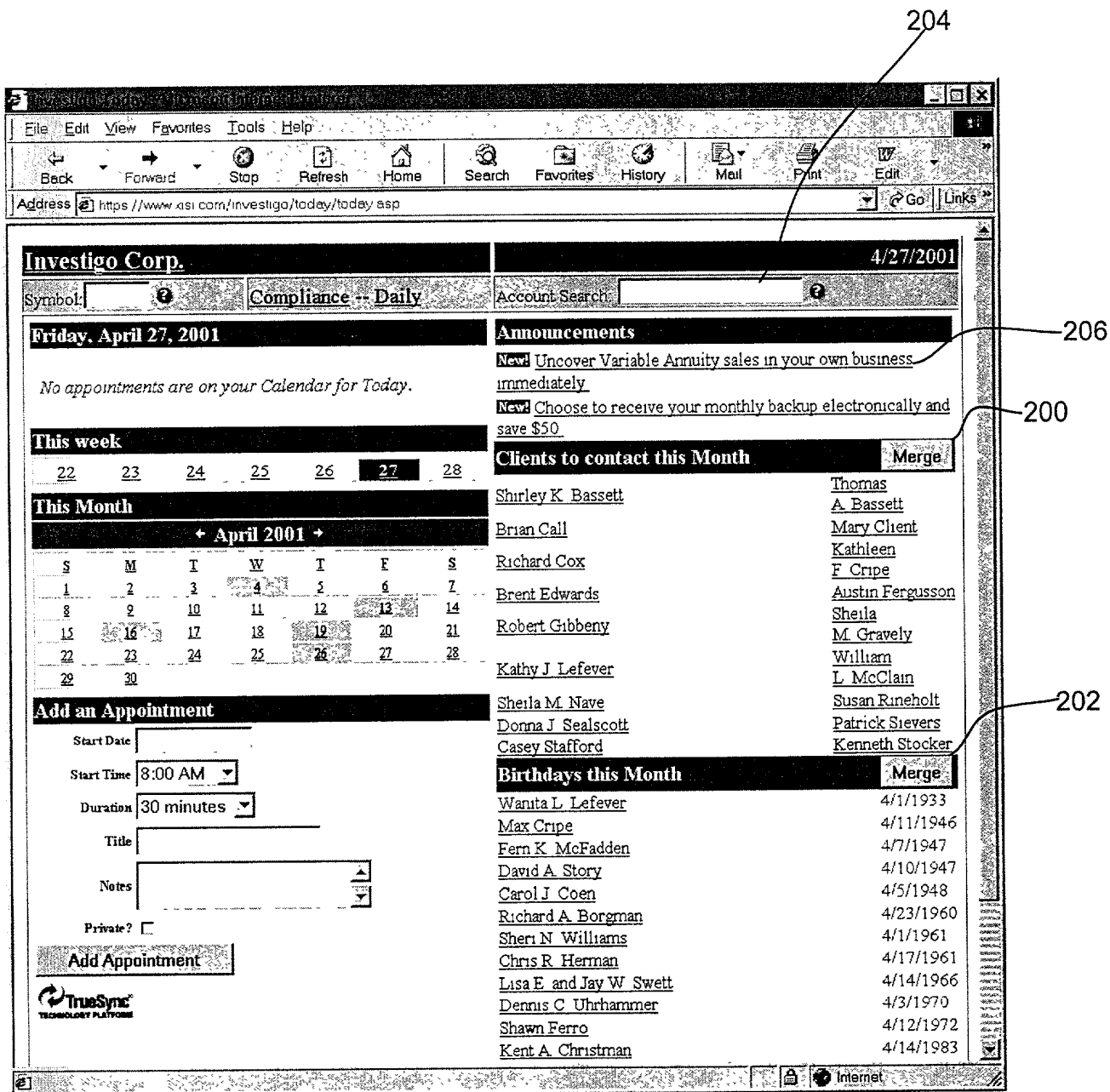


FIG. 5

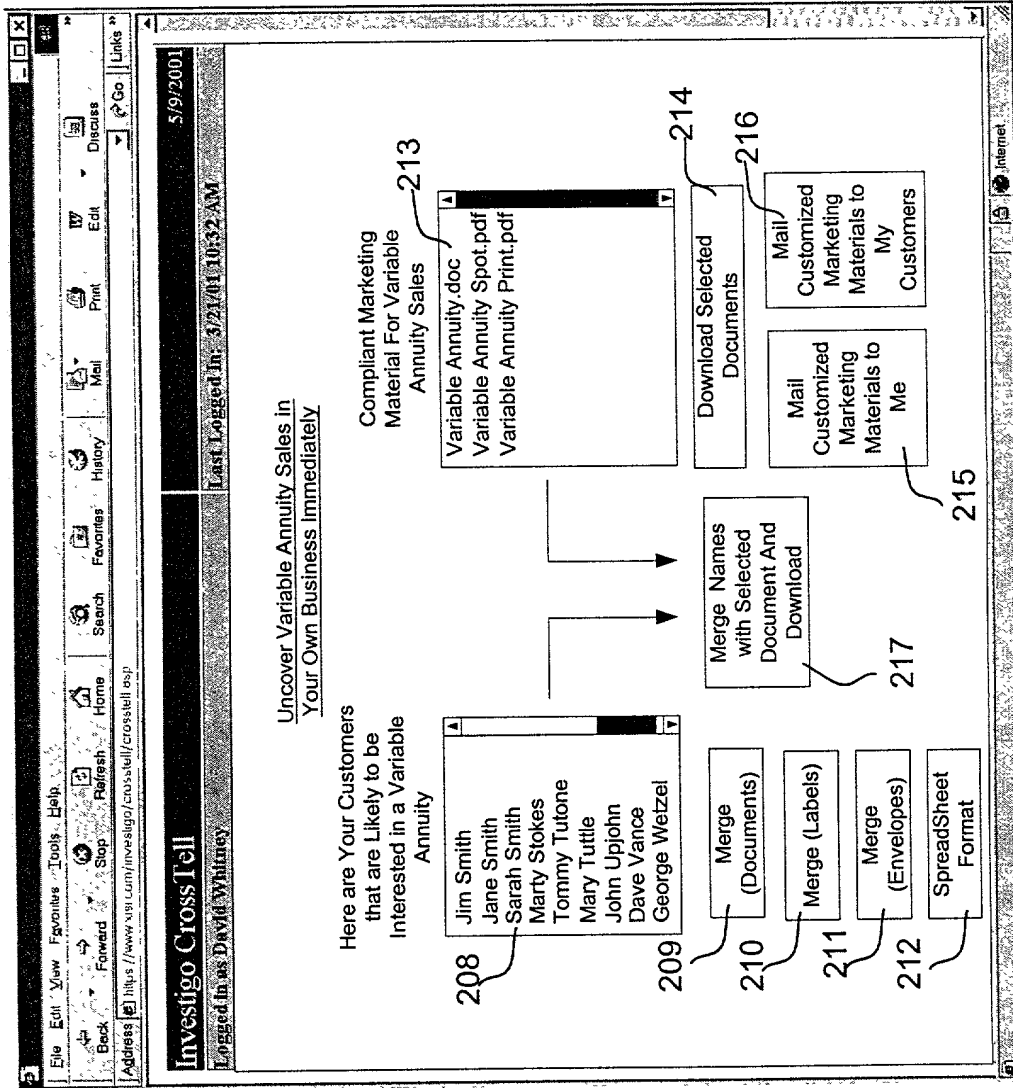


FIG. 6

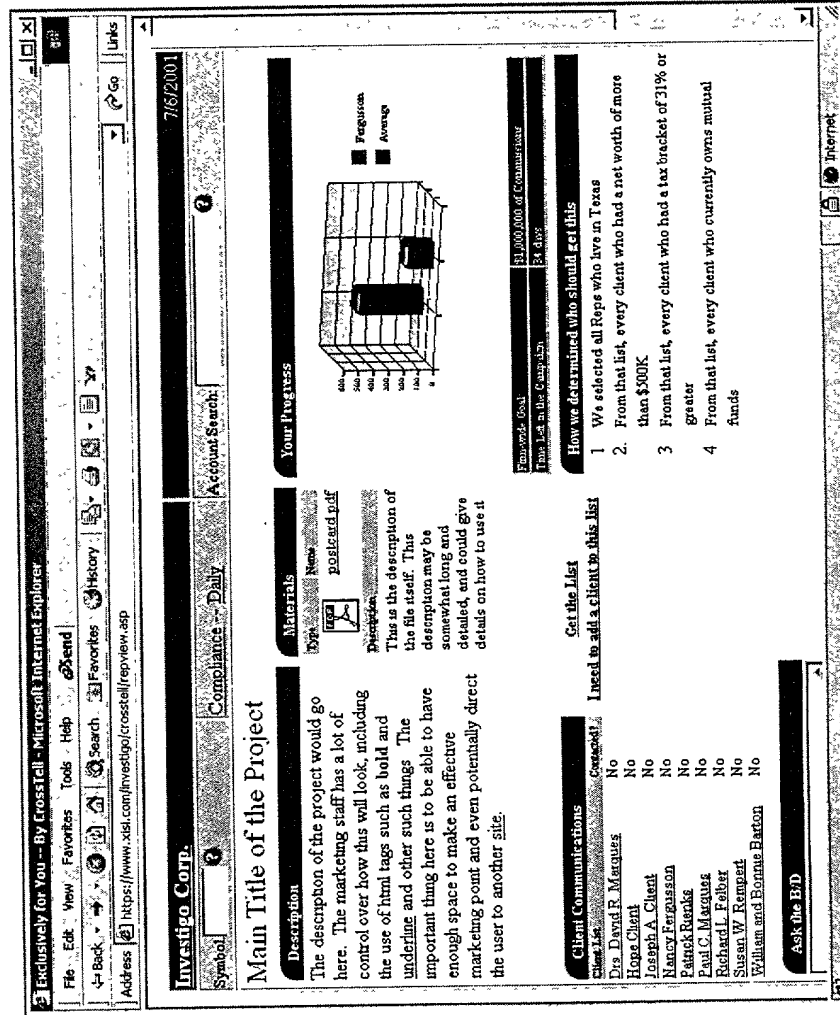


FIG. 7

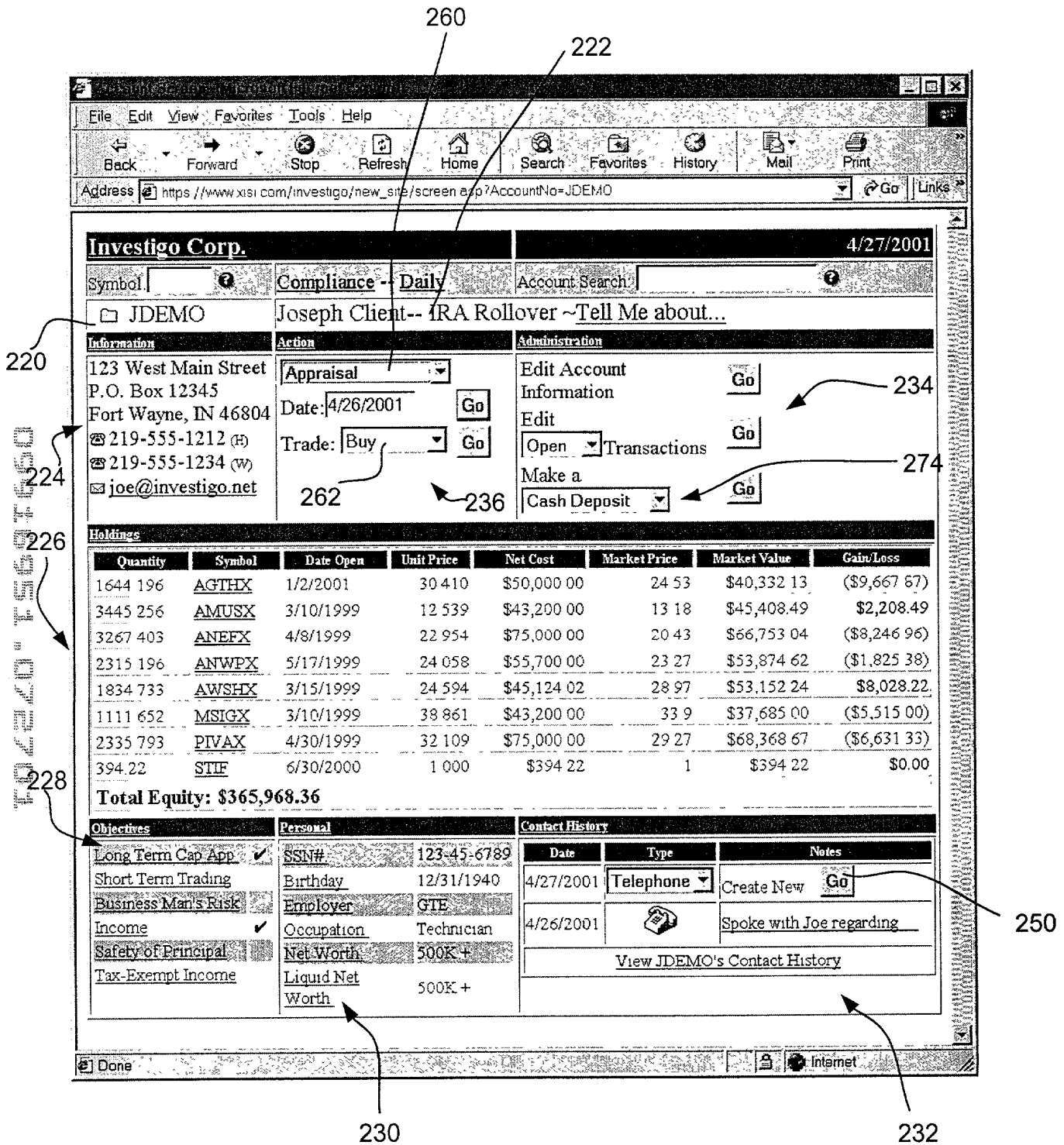


FIG. 8

File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites History Mail Print Edit Discuss

Address https://www.xsl.com/investigo/new_site/screen.asp?AccountNo=JDEMO

Go Links

Investigo Corp.

Symbol:

Compliance -- Daily

Account Search:

4/27/2001

Joseph Client-- IRA Rollover ~Tell Me about...

Information

123 West Main Street

P.O. Box 12345

Fort Wayne, IN 46804

219-555-1212 (H)

219-555-1234 (W)

joe@investigo.net

Appraisal

Date: 4/26/2001

Trade: Buy

Buy

Cover

Sell

Sell Short

Go

Go

Go

Go

Go

Administration

Edit Account Information

Open Transactions

Make a Stock Deposit

Holdings

Quantity	Symbol	Date	Net Cost	Market Price	Market Value	Gain/Loss
1644 196	AGTHX	1/2/2001	\$50,000 00	24 53	\$40,332 13	(\$9,667 87)
3445 256	AMUSX	3/10/1999	\$43,200 00	13 18	\$45,408 49	\$2,208 49
3267 403	ANEFX	4/8/1999	\$75,000 00	20 43	\$66,753 04	(\$8,246 96)
2315 196	ANWPX	5/17/1999	\$55,700 00	23 27	\$53,874 62	(\$1,825 38)
1834 733	AWSHX	3/15/1999	\$45,124 02	28 97	\$53,152 24	\$8,028 22
1111 652	MSIGX	3/10/1999	\$43,200 00	33 9	\$37,685 00	(\$5,515 00)
2335 793	PIVAX	4/30/1999	\$75,000 00	29 27	\$68,368 67	(\$6,631 33)
394 22	STIF	6/30/2000	\$394 22	1	\$394 22	\$0 00

Total Equity: \$365,968.36

Objectives

Long Term Cap App. ☒

Short Term Trading

Business Mans Risk

Personal

SSN# 123 45 6789

Birthday 12/31/1940

Employer GDE

Contact History

Date 4/27/2001

Type Telephone

Notes Create New

Done Internet

FIG. 9

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File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites History Mail Print

Address <https://www.xisi.com/investigo/posting/orderdesk.asp?Action=Buy&B1=Go&reportdate=4%2F27%2F2000> Go Links

Investigo Corp.

Symbol

Compliance - Daily Account Number or Name

4/27/2001

Trade Entry

Joseph Client-- IRA Rollover

JDEMO

Trade Date	4/27/2001	Trade Type	Buy
Amount	1000	Symbol or CUSIP	ibm
Price	33.00	Solicitation Status	Solicited
Commission	600	Location of Shares	Long
Fees and/or charges	65.00	Reinvest	None or N/A
Sales charge	0	- For Mutual Funds	
Cost or Proceeds Override		- Overrides automatic calculation	
Order Entered By	3br	Recommended to Client	
Notes			

Confirm This Trade

Done Internet

270

FIG. 10

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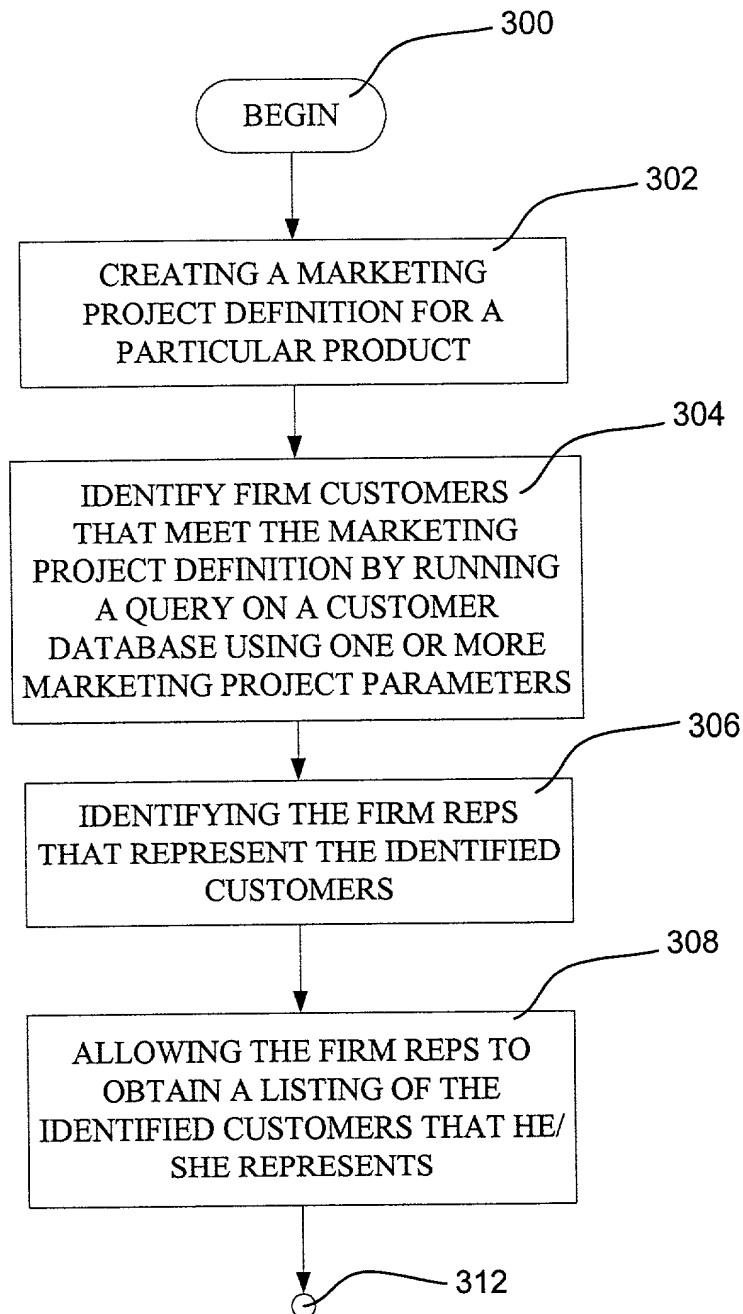


FIG. 13A

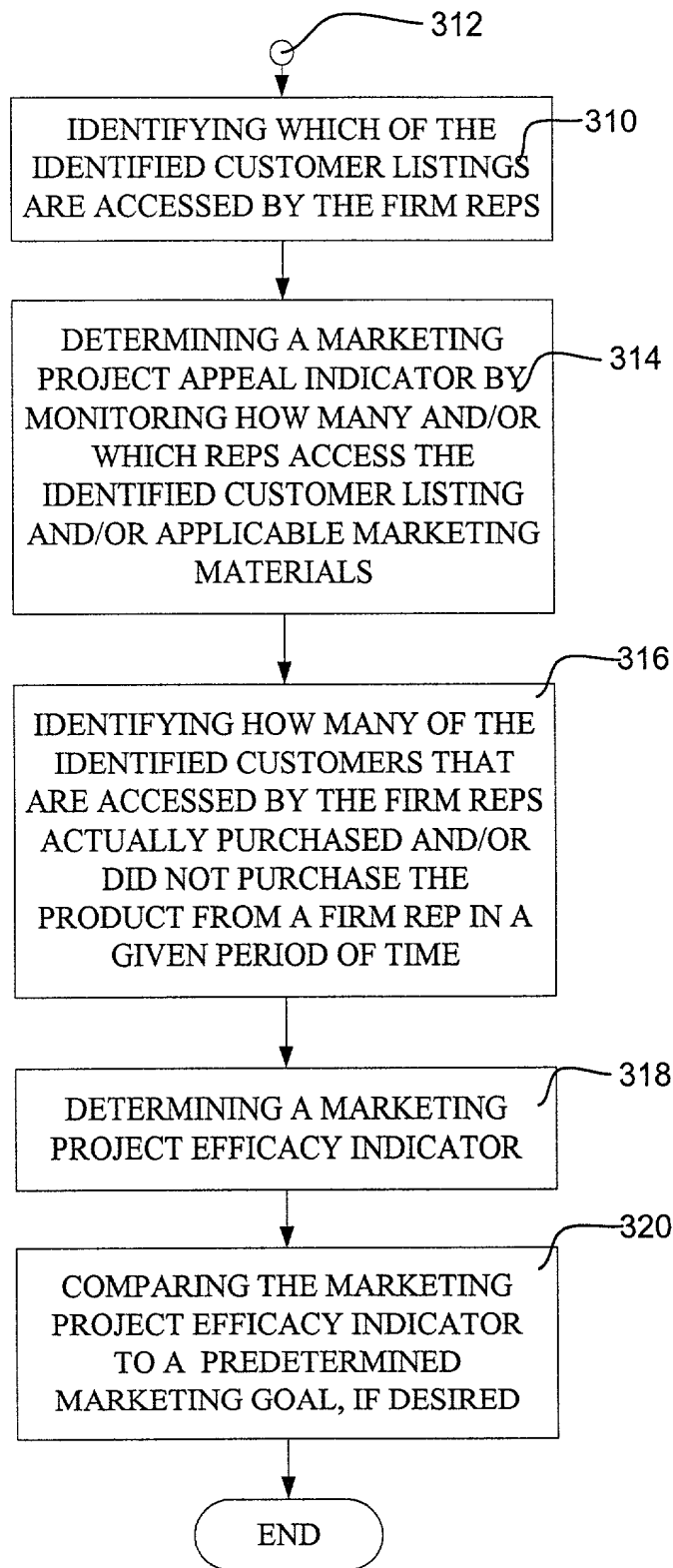


FIG. 13 B

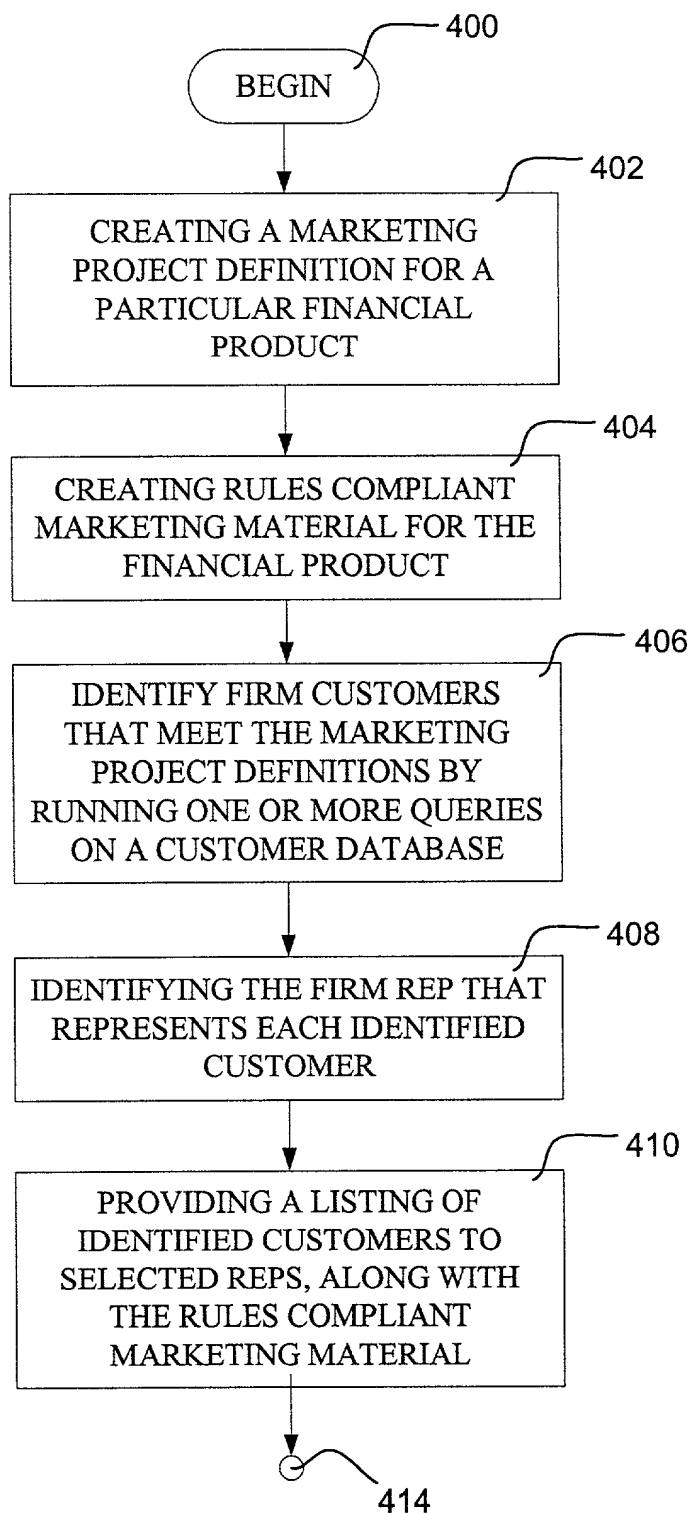


FIG. 14A

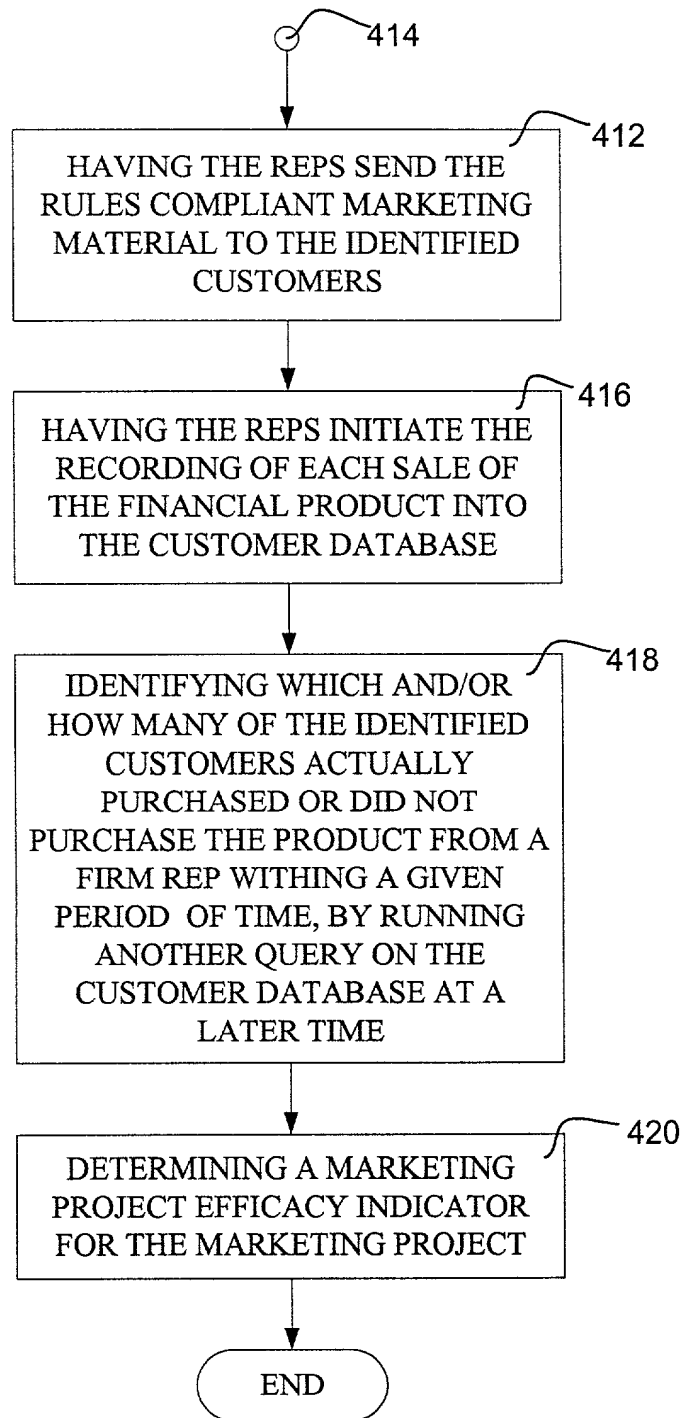


FIG. 14B